

L-Università ta' Malta STANDARD OPERATING PROCEDURE

XLI-012-02	SOP TITL		NIC THESES AND D	SSERTATIONS
PART 1				
Author				
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HEAD OF ACQUISITIONS	DEPARTM	ENT		
PART 2				
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DIRECTOR LIBRARY SERV	ICES			
PART 3				
Authoriser			Date of issue:	
MR SIMON SAMMUT			Date of next revi	ision:
UNIVERSITY SECRETARY				
PART 4 (To be filled in by OOTS,	QSU or RSSI	D)		
☐ This procedure has been re is no longer valid as from:	vised and	□ Date of NEXT F extended until		□ SOP rendered obsolete on:
()	Write date)		(Max. 4 years)	(Write date)

SOP NUMBER	SOP TITLE	
XLI-012-02	JOP IIILL	ELECTRONIC THESES AND DISSERTATIONS

1. Reason for revision

1.1 SOP Updated as per new template.

2. Purpose and Scope

2.1 This procedure will outline the process from when Electronic Theses and Dissertations (ETDs) are received at the Library to the point where they are uploaded and made available to UM Library users.

3. Definitions

3.1 Communities	Communities correspond to F/I/C/S and administrative entities. Within each Community there are an unlimited number of Sub-Communities (which correspond to the departments within each F/I/C/S) and an unlimited number of Collections. Moreover, each Collection contains an unlimited number of items.
3.2 Collections	Sub-Communities are further divided into Collections, corresponding to the year of submission of dissertations.
3.3 ETD:	Electronic Theses and Dissertations
3.4 F/I/C/S:	Faculty/Institute/Centre/School
3.5 HyDi:	Library Discovery and Delivery Portal.
3.6 Metadata	Data that serves to provide context or additional information about other data. Title, subject, author and, place and date of publication are examples of metadata fields that constitute information about a document.
3.7 UM:	University of Malta

4. Responsibilities

4.1 Roles and responsibilities of the student:

4.1.1 Students are to save their dissertation as PDF with the correct file name (showing year of submission and individual student code) and hand it in to their respective F/I/C/S secretary on a CD or via email.

4.2 Roles and responsibilities of the departmental secretary:

4.2.1 Departmental secretary is responsible for compiling an Excel file with the list of students in alphabetical order and assigning a code (which shall be used as the file name) to each student. The excel file should also include the students' personal email address. The code shall be communicated with students.

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- 4.2.2 Departmental secretary is to check that dissertations submitted by students:
 - are presented in the correct format (PDF);
 - have been assigned the correct filename;
 - do not contain any personal information about the author or third parties (only the Name and Surname of the author should appear on the Title Page);
 - do not contain signatures.
- 4.2.2 Secretary is also responsible for copying all ETDs to one CD (or DVD), include the excel file with the list of student names and codes, and send the CD to the Library, Acquisitions' office. ETDs may also be sent to the library via Google Drive.

4.3 Roles and responsibilities of Acquisitions Librarian:

- 4.3.1 Insert copyright page (which states that the copyright belongs to the author and any use of information should be done according to the Copyright Law). This is inserted by means of a batch process using Adobe Acrobat Pro.
- 4.3.2 Create the communities and collections where ETDs are to be submitted on the Library's institutional repository, OAR@UM.
- 4.3.3 Upload ETDs to a drive on Google, which is shared with cataloguing staff who are responsible for uploading the ETDs on OAR@UM
- 4.3.2 Communicate with cataloguing staff to inform them which new ETDs need to be catalogued.
- 4.3.3 Monitor and approve the work done by cataloguing department.

5. Health and Safety Requirements

5.1 N/A

6. PROCEDURE

- 6.1 The PDF versions of dissertations are submitted by students to the respective F/I/C/S with whom the students have followed their course of studies.
- 6.2 The Faculty Officers gather all the ETDs together on a cd (or via Google Drive) and they are then forwarded to the Library together with a soft copy of the excel file with the filename/course code, the list of student names (in alphabetical order) and the title of the respective dissertations.
- 6.3 The ETDs are then uploaded, by the Acquisitions' staff, on the Google shared drive.

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- 6.4 Communities (organised by faculty / department / submission year) are created on OAR@UM (Appendix I) and ETDs are uploaded to the respective communities. The metadata which is prepared by the Cataloguing staff is then included with each dissertation during upload.
- 6.5 The metadata of each ETD is reviewed and approved by Acquisitions personnel. Once it is approved or rejected it goes back to the cataloguer. In case of approved items the cataloguer will then amend the access right of that item so that it will be visible to UM users. In case of rejected items the cataloguer would need to make necessary amendments before opening access. Once this is completed, the ETD is made available to UM users.
- 6.6 ETDs are accessible from the UM Library portal HyDi and the Institutional Repository OAR@UM.

7. References

7.1 HyDi search engine page, 2017, [Online], Available at https://hydi.um.edu.mt [Accessed 27th October 2017].

8. List of Appendices/Worksheets

- 8.1 Appendix I: Creating Communities, Sub-Communities and Collections on OAR@UM
- 8.2 Appendix II: Community Structure within the IR

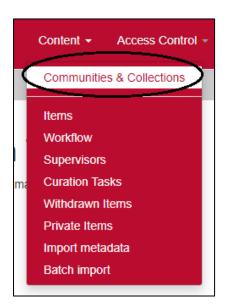
Appendix I: Creating Communities, Sub-Communities and Collections on OAR@UM

Creating a Community Step 1

Log in as administrator.



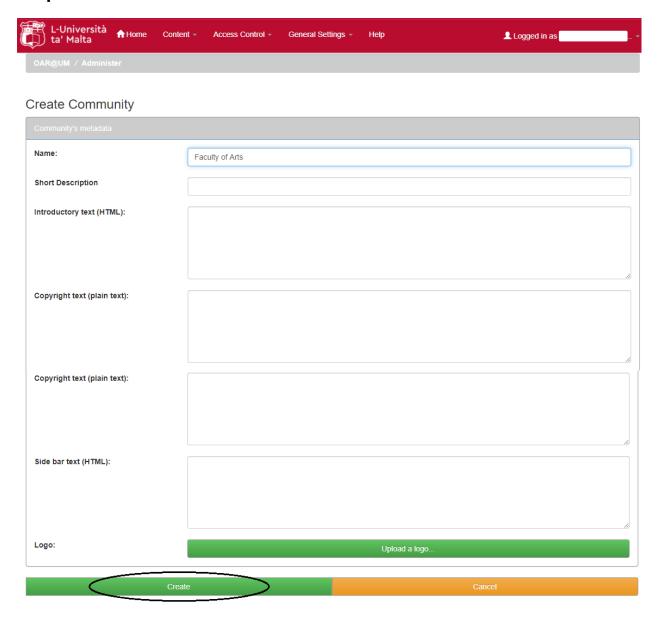
Click on Content > Communities and Collections.



On the right-hand side, under Admin Tools, click Create Top-Level Community.



Step 2



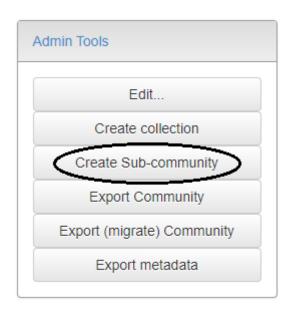
Name of community is mandatory. Other fields can be filled out if the information is available.

Click on Create.

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Create a Sub-community Step 1

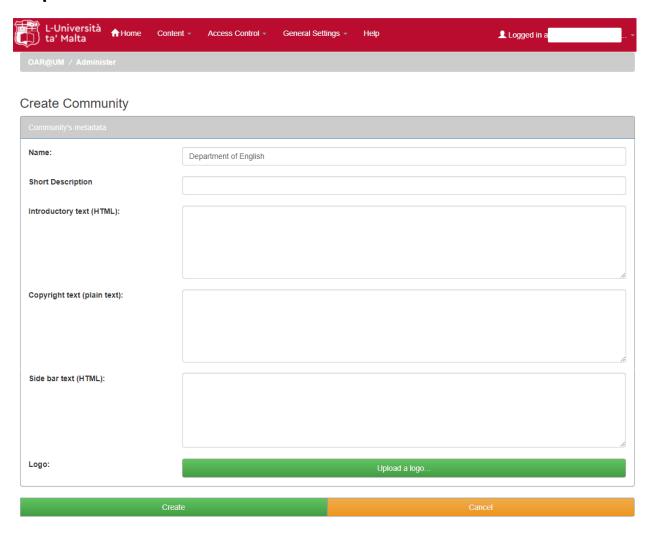
Choose the community where you need to create the sub-community.



Click on **Create Sub-community**.

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Step 2



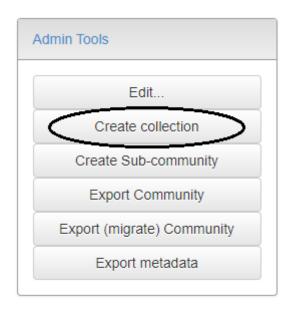
Name of sub-community is mandatory, and should be entered as per **Community Structure within the IR** (Appendix II). Other fields can be filled out if the information is available.

Click on **Create**.

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Create a Collection Step 1

Choose community or sub-community where you need to create the collection.



Click on Create Collection.

Step 2

Describe the Collection
Shown in list on community home page Short Description:
HTML, shown in center of collection home page. Be sure to enclose in Introductory text:
Plain text, shown at bottom of collection home page Copyright text:

Name of collection is mandatory, and should include 'type of material - abbreviation of community or sub-community' as per **Community Structure within the IR** (Appendix II). Other fields can be filled out if the information is available.

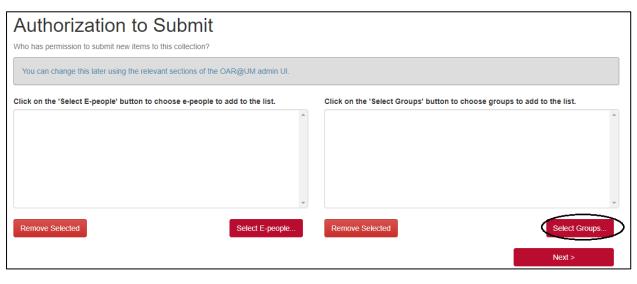
Click Next.

The collection has to be assigned the 'Submitters Group' and 'Approval Group' (see instructions below)

Assigning Submitters and Approvers Groups to a Collection

In order to submit to a collection a 'Submitters Group' has to be assigned to the Collection.

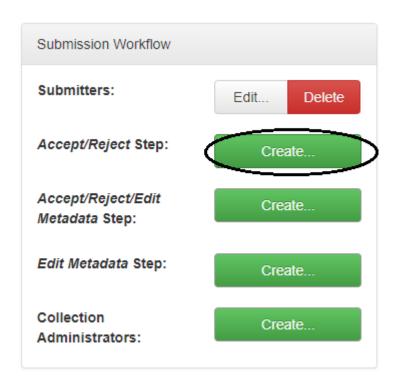
To grant the submitters group the rights to submit to the collection, click on Select Groups, then choose **library_SUBMITTERS** from the list.



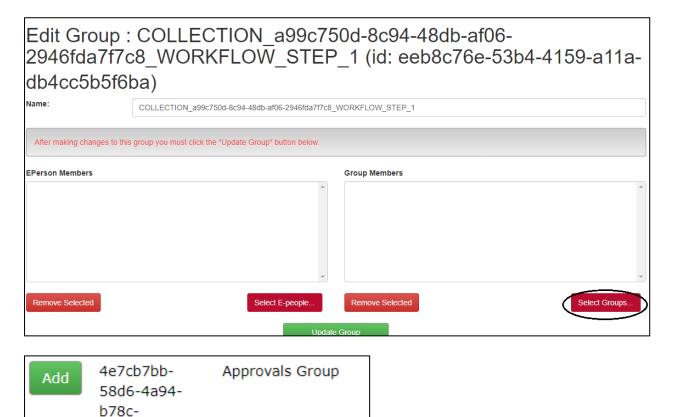
Add 5996927d-0760- library_SUBMITTERS 4b91-a4d7- 14904a6abfdb

Click **Next** to select the Approvals group.

Under **Submission Workflow** click on the **Create** button next to **Accept/Reject** Step.



Click on Select Groups and choose Approvals Group from the list.



Click on **Update Group** and close the tab.

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Appendix II: Community Structure within the IR

Faculties	Sub-communities	Abbreviations for Collections
Faculties		
· Faculty of Arts	 Department of Anthropological Sciences 	· FacArtAS
	 Department of Classics & Archaeology 	· FacArtCA
	· Department of English	· FacArtEng
	· Department of French	· FacArtFre
	· Department of Geography	· FacArtGeo
	· Department of German	· FacArtGer
	· Department of History	· FacArtHis
	· Department of History of Art	· FacArtHa
	 Department of International Relations 	· FacArtIR
	· Department of Italian	· FacArtIta
	· Department of Maltese	· FacArtMal
	· Department of Oriental Studies	· FacArtOS
	· Department of Philosophy	· FacArtPhi
	· Department of Sociology	· FacArtSoc
	· Department of Spanish & Latin American Studies	· FacArtSpa
	 Department of Translation, Terminology & Interpreting Studies 	· FacArtTTI
	Department of Theatre Studies	FacArtTS
· Faculty of Built Environment	 Department of Architecture & Urban Design 	· FacBenAUD
	· Department of Built Heritage	· FacBenBH
	 Department of Civil & Structural Engineering 	· FacBenCSE
	 Department of Construction & Property Management 	· FacBenCPM
	· Department of Environmental Design	· FacBenED
	 Department of Spatial Planning & Infrastructure 	· FacBenSPI
	· Department of Visual Arts	· FacBenVA
· Faculty of Dental Surgery	· Department of Dental Surgery	· FacDenDS

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	· Department of Oral Rehabilitation and Community Dental Care	· FacDenSORCDC
	· Department of Restorative Dentistry	· FacDenSRD
· Faculty of Economics, Management &	· Department of Accountancy	· FacEMAAcc
Accountancy	· Department of Banking & Finance	· FacEMABF
	· Department of Economics	· FacEMAEco
	· Department of Management	· FacEMAMAn
	· Department of Marketing	· FacEMAMar
	· Department of Public Policy	· FacEMAPP
	· Department of Insurance	· FacEMAIns
	· Department of Tourism Studies	FacEMATou
Faculty of Education	· Department of Arts & Languages in Education	· FacEduALE
	· Department of Education Studies	· FacEduES
	· Department of Mathematics, Science & Technical Education	· FacEduMSTE
	· Department of Early Childhood & Primary Education	· FacEduECPE
	· Department of Inclusion & Access to Learning Unit	· FacEdulALU
Faculty of Engineering	· Department of Electronic Systems Engineering	· FacEngESE
	· Department of Industrial & Manufacturing Engineering	· FacEngIME
	· Department of Industrial Electrical Power Conversion	· FacEngIEPC
	· Department of Mechanical Engineering	· FacEngME
	· Department of Metallurgy & Materials Engineering	· FacEngMME
	· Department of Systems & Control Engineering	· FacEngSCE
Faculty of Health Sciences	· Department of Applied Biomedical Science	· FacHScABS
	· Department of Communication Therapy	· FacHScCT
	· Department of Food Studies & Environmental Health	· FacHScFSEH
	· Department of Health Services Management	· FacHScHSM
	· Department of Midwifery	· FacHScMid

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	· Department of Nursing	· FacHScNur
	 Department of Occupational Therapy 	· FacHScOT
	· Department of Physiotherapy	· FacHScPhy
	· Department of Podiatry	· FacHScPod
	· Department of Radiography	· FacHScRad
	· Department of Medical Physics	· FacHScMP
	· Department of Mental Health	· FacHScMH
· Faculty of Information &	· Department of Artificial Intelligence	· FacICTAI
Communication Technology	· Department of Communications & Computer Engineering	· FacICTCCE
	 Department of Computer Information Systems 	· FacICTCIS
	· Department of Computer Science	· FacICTCS
	 Department of Intelligent Computer Systems 	· FacICTICS
	 Department of Microelectronics & Nanoelectronics 	· FacICTMN
· Faculty of Laws	· Department of Civil Law	· FacLawCiv
	· Department of Commercial Law	· FacLawCom
	· Department of Criminal Law	· FacLawCri
	· Department of Environmental & Resources Law	· FacLawER
	 Department of European & Comparative Law 	· FacLawEC
	· Department of International Law	· FacLawInt
	 Department of Legal History & Methodology 	· FacLawLHM
	· Department of Media, Communications & Technology Law	· FacLawMCT
	· Department of Public Law	· FacLawPub
· Faculty of Media & Knowledge Sciences	· Department of Media & Communications	· FacMKSMC
	Department of Library Information& Archive Sciences	· FacMKSLIAS
	· Department of Digital Arts	· FacMKSDA
	 Department of Corporate Communication 	· FacMKSCC
	· Department of Cognitive Science	· FacMKSCS

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	 Department of Information Policy & Governance Unit 	· FacMKSIPGU
Faculty of Medicine & Surgery	· Department of Anatomy	· FacM&Sana
	· Department of Clinical Pharmacology & Therapeutics	· FacM&SCPT
	· Department of Family Medicine	· FacM&SFM
	· Department of Medicine	· FacM&SMed
	· Department of Obstetrics & Gynaecology	· FacM&SOG
	· Department of Paediatrics	· FacM&SPae
	· Department of Pathology	· FacM&Spat
	· Department of Pharmacy	· FacM&SPha
	· Department of Physiology & Biochemistry	· FacM&SPB
	· Department of Psychiatry	· FacM&SPsy
	· Department of Public Health	· FacM&SPH
	· Department of Surgery	· FacM&SSur
	· Maltese Medical Journal	· MMJ
aculty of Science	· Department of Biology	· FacSciBio
	· Department of Chemistry	· FacSciChe
	· Department of Mathematics	· FacSciMat
	· Department of Physics	· FacSciPhy
	Department of Statistics & Operations Research	· FacSciSOR
Faculty for Social Wellbeing	· Department of Counselling	· FacSoWCou
	· Department of Criminology	· FacSoWCri
	· Department of Gender Studies	· FacSoWGS
	· Department of Family Studies	· FacSoWFS
	· Department of Psychology	· FacSoWPsy
	 Department of Social Policy & Social Work 	· FacSoWSPSW
	· Department of Youth & Community Studies	· FacSoWYCS
	· Department of Disability Studies Unit	· FacSoWDSU
Faculty of Theology	· Department of Church History	· FacTheCH
	 Department of Fundamental & Dogmatic Theology 	· FacTheFDT

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	· Department of Moral Theology	· FacTheMT
	· Department of Pastoral Theology, Liturgy & Canon Law	· FacThePTL
	· Department of Philosophy	· FacThePhi
	· Department of Sacred Scripture, Hebrew & Greek	· FacTheSC
Institutes		
· Institute of Anglo-Italian Studies		· InsAIS
· International Institute for Baroque Studies		· InsBS
· Institute for Climate Change & Sustainable Development		· InsCCSD
· Confucius Institute		· InsCl
· Institute of Digital Games		· InsDG
· Institute of Earth Systems	· Department of Environmental Management & Planning	· InsESEMP
	· Department of Rural Sciences & Food Systems	· InsESRSF
	· Department of Euro-Mediterranean Centre on Insular Coastal Dynamics	· InsESEMC
· The Edward De Bono Institute for The Design & Development of Thinking		· InsDeB
· Institute for European Studies		· InsES
· Islands & Small States Institute		· InsSSI
· Institute of Linguistics		· InsLin
· Institute of Maltese Studies		· InsMS
· Mediterranean Academy of Diplomatic Studies		· InsMADS
· Mediterranean Institute		· InsMI
	Theatre Studies Division	InsMITSD
· Institute for Physical Education & Sport		· InsPES
· Institute of Public Administration & Management		· InsPAM
· Institute of Space Science & Astronomy		· InsSSA
· Institute for Sustainable Energy		· InsSE
· Institute for Tourism, Travel & Culture		· InsTTC
· International Maritime Law Institute		· InsMLI

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Centres		
· Centre for Biomedical Cybernetics	· CenBC	
· Centre for English-Language Proficiency	· CenELP	
· Centre for Entrepreneurship & Business Incubation	· CenEBI	
· Centre for Environmental Education & Research	· CenEER	
· Centre for Labour Studies	· CenLS	
· Centre for the Liberal Arts & Sciences	· CenLAS	
· Centre for Literacy	· CenLit	
· Centre for Molecular Medicine & Biobanking	· CenMMB	
· Centre for Resilience & Socio- Emotional Health	- CenRSEH	
 Centre for Traditional Chinese Medicine 	- CenTCM	
· Euro-Mediterranean Centre for Educational Research	- CenEMER	
· European Centre for Gerontology	· CenECG	
· University of the Third Age	· CenUTA	
· International Ocean Institute - Malta Operational Centre	· CenIOI	
School		
· School of Performing Arts	·SchPA	
	Department of Dance Studies	SchPADDS
	Department of Music Studies	SchPADMS
	Department of Theatre Studies	SchPADTS
Junior College		
· Accounting	· JCAcc	
· Art	· JCArt	
·Biology	· JCBio	
· Chemistry	· JCChe	
· Classical Studies	· JCCla	
· Computing & Information Technology	· JCCom & IT	
· Economics	· JCEcon	
· Engineering Drawing	· JCEng Drw	

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· English	· JCEng	
· Environmental Science	· JCEnv	
· French	· JCFre	
· Geography	· JCGeo	
· German	· JCGer	
· History	· JCHis	
· Home Economics	· JCHom Econ	
· Italian	· JCIta	
· Maltese	· JCMal	
· Marketing	· JCMar	
· Mathematics (Pure & Applied)	· JCMath	
· Music	· JCMus	
· Philosophy	· JCPhi	
· Physical Education	· JCPE	
· Physics	· JCPhy	
· Psychology	· JCPsy	
· Religious Studies	· JCRel	
· Sociology	· JCSoc	
· Spanish	· JCSpa	
· Systems of Knowledge	· JCSOK	
· Theatre & Performance	· JCThr	
Information & Communication Services		
· Campus FM	· ICSCFM	
· The Communications & Alumni Relations Office	· ICSCARO	
· The Corporate Research and Knowledge Transfer Office	· ICSCRKTO	
· IT Services	· ICSITS	
· Library	· ICSLib	
What Librarians Eat!	· WLE	
Administration Services		
· Academic Programmes Quality & Resources Unit	· ASAPQRU	
· Estate & Works	· ASEW	

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· Finance Office	· ASFO	
· International & EU Office	· ASIEO	
· Legal Services	· ASLS	
· Office for Human Resources Management & Development	· ASOHRMD	
· Office of the Registrar	· ASOR	
· Procurement Directorate	· ASPD	
National Heritage		
	· Philosophy. Psychology. Religion	· NHB
	· Auxiliary Sciences of History	· NHC
	· World History	· NHD
	· Geography. Anthropology. Recreation	· NHG
	· Social Sciences	· NHH
	· Political Science	· NHJ
	· Law	· NHK
	· Education	· NHL
	· Music	· NHM
	· Fine Arts	· NHN
	· Language and Literature	· NHP
	· Science	· NHQ
	· Medicine	· NHR
	· Agriculture	· NHS
	· Technology	· NHT
	· Military Science	· NHU
	· Naval Science	· NHV
	 Library Science. Information Resources 	· NHZ
Special Collections		
Student organizations		
	· KSU : Kunsill Studenti Universitarji	· SOKSU
	· KSJC : Kunsill Studenti Junior College	· SOKSJC
	· ELSA: European Law Students' Association	· SOELSA
	· ASA: Arts Students' Association	· SOASA
	· ASCS: Association of Students of Commercial Studies	· SOASCS

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nternational Masters Programme	MA in Ocean Governance	IMPMAOG
intries highlighted in yellow refer to ournal published by UoM		
	· We Are: LGBT Society	· SOLGBT
	· UESA: University Engineering Students Association	· SOUESA
	· MHSA: Malta Health Students' Association	· SOMHSA
	· Students' Philosophical Society	· SOSPS
	· S-Cubed: Science Students' Society	· SOSSS
	· SACES: Society of Architecture and Civil Engineering Students	· SOSACES
	· TSA: Tourism Studies Association	· SOTSA
	· MMSA: Malta Medical Students Association	· SOMMSA
	· MUSC: Malta University Sports Club	· SOMUSC
	Society	
	Students' Association · MUHS: Malta University Historical	· SOMUHS
	· MPSA: Malta Pharmaceutical	· SOMPSA
	· Malta Humanist Association	· SOMHA
	· MADS: Malta Association of Dental	· SOMADS
	· MIRSA: Malta International Relations Student Association	· SOMIRSA
	· ICSTA: ICT Students Association	· SOICT
	· GUG: Gozitan students	· SOGUG
	· SSA: Students' Sociology Association	· SOSSA
	· Għ.S.T.: Theology Students Association	· SOGhST
	· GħSL: Law Students	· SOGhSL
	· ESO: European Studies Organisation	· SOESO
	· ESN: Erasmus Student Network	· SOESN
	· ESA: Earth Systems Association	· SOESA
	· DESA: English Students	· SODESA
	· Comm.a: Communications	· SOComma
	· Betapsi: Psychology	· SOBetapsi

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MSc Conflict Analysis and Resolution	IMPMCAR
MA in Transcultural Counselling	IMPMTC
MA in Global Maritime Archaeology	IMPMGMA
MA Integrated Marketing Communications	IMPMIMC
LLM in Energy, Environmental and Climate Change Law	IMPEECCL
MSc in Environmental Management and Sustainability	IMPMEMS
Master in Traditional Chinese Medicine and Culture	IMPMCMC